### Limits of computability

# Does this program exist?

## Is it possible to write a Java method of the form public boolean test(String program,String input) {

```
// some code here
```

```
such that test returns
```

- true if the string program is the code of a Java method that prints "Hello world!" when called with input, and
- false otherwise?

# Does this program exist?

For example, if the string program is

public void abc(String s) { if( s == "abc" ) { print "Hello world!"; } else { print "Whatever"; } }

then

- test called with program and input "abc"
  yields true;
- test called with program and any other input yields false.

### A helper function

To see if test exists, we shall consider a funny program on the next slide. First, we need a helper function: let

enumerate

be an enumeration for the set

 $\{(x, y, z, n) : x, y, z, n \text{ are positive integers and } n \geq 3\}.$ 

This can could be written in Java as a method

int[] enumerate(int i) { ... }

(Remember Cantor's Zig-Zag!)

#### maybeHelloWorld

```
String maybeHelloWorld(String s) {
    int x, y, z, n, i;
    i = 1;
    while( true ) {
        (x,y,z,n) = enumerate( i );
        if (\exp(x,n) + \exp(y,n) = \exp(z,n)) {
            print("Hello world!");
            break;
        i = i + 1;
```

#### maybeHelloWorld

The program maybeHelloWorld does the following:

If there are positive integers x, y, z, n such that  $n \ge 3$  and

$$x^n + y^n = z^n,$$

the it prints "Hello World!".

- Otherwise, it goes into an infinite loop.
- What happens if we call test on maybeHelloWorld (and any input)?

#### test2

```
public void test2(String program) {
    if(test(program,program)==false) {
        print("Hello world!");
    } else {
        print("Whatever");
    }
}
```

What happens if we call test2 with its own code as argument?

## **Turing machines**

### Motivation

- Real-life programming languages are too big and messy to make precise claims about computability.
- To address this issue, we shall use idealized notions of computation.
- The first such notion we shall study is that of Turing machine.
- Introduced by Alan Turing in the 1930's before programming languages even existed.

# Computable functions

A function is defined to be

- effectively computable if there is a finite list of instructions by following which one could in principle compute its value for any given argument.
- Turing computable if it is computable by a Turing machine.

### **Turing's thesis**

- It will be obvious that "Turing-computable" implies "effectively computable".
- The converse is called "Turing's thesis".
- Turing's thesis cannot be proved, but we shall accumulate evidence during this course.

### **Turing machine**

. . .

movable "tape head" (can read and write)



infinite tape consisting of "squares"

. . .

### **Configurations of a Turing Machine**

- At any time, the tape head is in one of a finite number of states, and it is scanning one particular square.
- Each tape square is blank (written as 0) or contains a stroke (written as 1).
- We require that at any given time there are only finitely many 1's.

# Representation of configurations

- Tapes are represented by binary strings, e.g. 1101101.
- There are supposed to be infinitely many 0's on the left and right.
- Configurations are represented e.g. like 11<sub>q</sub>01101. The state (here: q) is written as a subscript on the scanned symbol.

# Actions of the tape head

- $W_0$ : write 0 into current square
- $W_1$ : write 1 into current square
- *L*: move one square to the left
- R: move one square to the right

#### Formal definition of a Turing machine

**Definition.** A **Turing machine** (TM) is given by:

- A set Q of states.
- A tape alphabet  $\Sigma$  (we shall use  $\Sigma = \{0, 1\}$ ).

A transition function

 $\delta: Q \times \Sigma \rightharpoonup Q \times \{W_0, W_1, L, R\}$ . The value of  $\delta(q, a)$ , if defined, is a pair (q', X) consisting of a next state q' and an action X.

• An initial state  $q_0$ .

Note the similarity with DFA.

### How a TM operates

Let q be the state of the head, and a the **scanned** symbol (i.e. the symbol underneath). Then

- If  $\delta(q, a)$  is undefined, the machine halts.
- Otherwise, let (q', X) be  $\delta(q, a)$ . The head executes action X and goes to state q'.
- If X is  $W_0$ , the head writes 0.
- If X is  $W_1$ , the head writes 1.
- If X is L, the head moves left
- If X is R, the head moves right.

#### **Transition table**

A Turing machine can be presented as a **transition table**, for example:

	0	1
$q_0$	$W_1q_0$	$Lq_1$
$q_1$	$W_1q_1$	$Lq_2$
$q_2$	$W_1q_2$	

# Example: doubling the number of 1s



## Some functions computable by TM's

- The machine for doubling strokes can be seen as an implementation of the function that sends the positive integer x to 2 \* x.
- There is also trivial machine for addition: it needs to do nothing, because the number of strokes after the computation is deemed to be the result.
- There is also a Turing machine for multiplication y \* x (see Boolos/Burgess/Jeffrey, somewhat messy).

#### Functions computable by TM's

- We shall gather strong evidence that every effectively computable function is computable by a TM (i.e. that Turing's Thesis holds).
- We shall focus on functions that take k natural numbers to a natural number, for some  $k \ge 1$ :

$$f: N^k \longrightarrow N.$$

To state what it means for such an f to be Turing-computable, we need some definitions.

#### **Representing natural numbers**

- The argument given to the machine is only a representation of a number: string of digits.
- Decimal system, e.g. 1969.
- Binary system: e.g. 11110110001.
- Roman numerals: e.g. MCMLXIX.
- Monadic or tally notation: e.g. the number five is represented by five strokes: 11111.
- The representation turns out to be inessential; for Turing machines, we choose monadic notation.

## Standard initial configurations

**Definition.** A standard initial configuration for arguments  $x_1, \ldots, x_k$  is a configuration such that

- there are k blocks of strokes, separated by single blanks;
- the *i*-th block consists of  $x_i$  strokes;
- the head is in the start state (state 1) and scans the leftmost stroke. (E.g. 1<sub>q0</sub>1101111011 is the standard initial configuration for arguments 3, 4, 2.)

### Halting configurations

**Definition.** A halting configuration is a configuration that allows no further transition (i.e. if q is the current state and a is the scanned symbol, then  $\delta(q, a)$  is undefined).

## Standard final configurations

**Definition.** A standard final configuration for result y is a configuration that consists of one block of y strokes, such that the head scans the leftmost stroke and is in a halting configuration.

### **Definition of Turing computability**

**Definition.** A function  $f: N^k \rightarrow N$  is **computed** by a Turing machine M if

- whenever  $f(x_1, \ldots, x_k) = y$ , then M takes the standard initial configuration for  $x_1, \ldots, x_k$  to a standard final configuration with y strokes on the tape;
- whenever  $f(y_1, \ldots, x_k)$  is undefined, M never halts, or halts with a non-standard final conf.

A function  $f : N^k \longrightarrow N$  is called **Turingcomputable** if there is a Turing machine that

Design a TM that will do the following. Given a tape containing a block of 1's and otherwise blank, if the machine is started the leftmost 1 on the tape, it will eventually halt scanning the rightmost stroke on the tape, having neither printed nor erased anything.

Design a TM that will do the following. Given a tape containing a block of 1's, followed by a 0, followed by another block of 1's, and otherwise blank, if the machine is started the leftmost 1 on the tape, it will eventually halt scanning the rightmost stroke on the tape, having neither printed nor erased anything.

Design a TM that, started scanning the leftmost 1 of an unbroken block of 1's on an otherwise blank tape, adds another 1 to the block and halts, scanning the leftmost 1.

Design a TM that, started scanning the leftmost 1 of an unbroken block of 1's on an otherwise blank tape, eventually halts, scanning a square on an otherwise blank tape, where the square contains a blank or a 1 depending on whether there were an even or an odd number of strokes in the original block.

Hint: recall the parity-checker DFA.

Design a TM that will do the following. Given a tape containing a block of n strokes, followed by a blank, followed by a block of m strokes, and otherwise blank, if the machine is started scanning the leftmost 1 on the tape, it will eventually halt leaving a block of m - n strokes if  $m \ge n$  or n - mstrokes if  $n \ge m$ , scanning the leftmost stroke on the tape if any stroke is left.

### **Generalized TM's**

One could allow

- more than two tape symbols,
- replace the tape by a rectangular grid,
- use several heads, several tapes, etc...

Turing's thesis implies that no generalization will enlarge the class of functions computable. This bold claim turns out to be true for the cases above.